

DEPARTMENT OF THE NAVYBUREAU OF MEDICINE AND SURGERY

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BUMED NOTICE 6150

From: Chief, Bureau of Medicine and Surgery

Subj: SUPPLEMENTAL GUIDANCE FOR DEPLOYMENT HEALTH RECORDS

Ref: (a) DHA-PI 6490.03 of 17 December 2019

(b) NAVPERS 15560D

(c) DoD Instruction 6040.45 of 11 April 2017

(d) DHA-PM 6025.02, Volume 2, DoD Health Record Lifecycle, Volume 2: Outpatient Record Components and Dental Records, 16 December 2021

(e) NAVMED P-5055

Encl: (1) Mandatory Medical Documents to be included in the Deployment Health Record

- (2) Medical Documents to be included in the Deployment Health Record (As Applicable)
- (3) Dental Deployment Health Record
- 1. <u>Purpose</u>. To provide supplemental guidance to reference (a) regarding the creation, maintenance, and disposition of the deployment health record (DHR) for active duty and Reserve Component Sailors with permanent change of station (PCS) orders for Sea or Shore Type Duty Assignment 2 through 4, as defined in reference (b), Naval Military Personnel Manual, Article 1306-102, temporary duty orders, or special duty. This supplemental guidance authorizes additional medical and dental documents above what is required by reference (a). The purpose of the DHR is to ensure continuity of care for Sailors and Marines reporting to any command with limited or no access to Department of Defense (DoD) electronic health record (EHR) systems.
- 2. <u>Scope and Applicability</u>. This notice applies to all Navy Medicine Readiness and Training Commands, Navy Medicine Readiness Training Units, and operational platforms or stations with assigned Navy health service support (HSS) personnel.
- 3. <u>Background</u>. In February 2022, the U.S. Military Entrance Processing Command started entering new accession medical documentation in the Military Health System (MHS) GENESIS, reducing the number of paper Service treatment records (STR) created. As a result, medical information is accessible via MHS GENESIS or by utilizing the Health Artifact Imaging Solution (HAIMS). Sailors and Marines reporting to operational assignments without a STR or incomplete DHR negatively impact command and individual medical readiness. This creates an administrative burden on unit HSS departments.

4. Policy

- a. The military Services train, equip, and provide health service support to conduct the full range of deployment health activities. Per reference (c), for commands with limited or no access to DoD EHR systems, paper records are required to support continuity of care.
- b. Operational commands with assigned HSS personnel will ensure procedures are in place for documenting individual medical or dental encounters at all levels of care (before, during, and after deployment) utilizing DoD EHR systems to the maximum extent practicable.
- c. Record custodians will follow guidance within reference (d) enclosure (4) regarding Sailors or Marines that are placed into various special programs (i.e., Personnel Reliability Program, nuclear field duty, submarine duty, diving duty, aviation, etc.). These Service members must have a complete list of all prescribed medications available for Personnel Reliability Program medical personnel and competent medical authority review. <u>Please Note:</u> Per enclosure (4) of reference (d), a DHR must be created for Federal civilian employees who may be deployed to support these special programs (e.g., naval shipyard workers sent outside of the continental United States to repair and maintain nuclear powered warships, etc.).

5. Responsibilities

a. STR custodians must:

- (1) Generate a medical DHR when a Service member presents official PCS reassignment orders (including those from basic training) for Sea or Shore Type Duty Code 2 through 4 commands, specified in subparagraphs 5a(1)(a) through 5a(1)(c), and special duty as specified in subparagraph 5a(1)(d).
- (a) Sea Duty (Sea or Shore Type Duty Code 2): Duty performed in commissioned vessels and deployable squadrons homeported in the U.S. (including Hawaii and Alaska); U.S. land-based activities and embarked staffs, which require members to operate away from their duty station more than 150 days per year.
- (b) Overseas Remote Land-based Sea Duty (Sea or Shore Type Duty Code 3): Duty performed in a land-based activity, which does not require members to be absent more than 150 days per year but is credited as sea duty for rotational purposes due to the relative undesirability of the geographic area.
- (c) Overseas Sea Duty (Sea or Shore Type Duty Code 4): Duty performed in commissioned vessels and deployable squadrons homeported overseas; overseas land-based activities and embarked staffs, which require members to operate away from their duty station more than 150 days per year.

- (d) U.S. Navy Service member graduating basic training with orders to report as a student at Navy nuclear power school.
- (e) Marine Corps Service member with orders to report to any duty station with limited or no access to DoD EHR systems.
- (2) Generate a separate dental DHR only for Service members with Sea Duty Type 2 or Type 4 PCS reassignment orders (including those from basic training) to one of the specific dental department manned classes of warships: Aircraft Carrier (CVN); Amphibious Command Ship (LCC), Landing Helicopter Dock (LHD), Landing Helicopter Assault (LHA), Amphibious Transport Dock (LPD), Landing Ship Dock (LSD); Submarine Tender (AS); or Hospital Ship (T-AH).
- (3) Assemble the DHR. The Department of the Navy version of the DHR jacket will be assembled utilizing reference (d) enclosure (3), Appendix 1, Table 3, "Navy Outpatient Record Jacket Forms." Include the paper copies of the mandatory and applicable medical documents outlined in enclosures (1) and (2) within this notice. The dental DHR described in enclosure (3) of this notice must be assembled in a separate DHR jacket. In black marker print "DEPLOYMENT HEALTH RECORD" on the front and back covers.

Primary Group	Record Jacket Color	NAVMED Form	Stock Number
00-09	Orange	6150/10	0105-LF-206-1550
10-19	Green	6150/11	0105-LF-206-1555
20-29	Yellow	6150/12	0105-LF-206-1560
30-39	Gray	6150/13	0105-LF-206-1565
40-49	Tan	6150/14	0105-LF-206-1570
50-59	Blue	6150/15	0105-LF-206-1575
60-69	White	6150/16	0105-LF-206-1580
70-79	Almond	6150/17	0105-LF-206-1585
80-89	Pink	6150/18	0105-LF-206-1590
90-99	Red	6150/19	0105-LF-206-1595

- (4) Disposition of the DHR. Upon completion of the operational assignment and return to shore duty, the gaining record custodian will take custody of the DHR or the complete STR if applicable (e.g., special programs) by scanning the paper record tracking label and complete the items listed in subparagraphs 5a(4)(a) and 5a(4)(b).
- (a) All paper medical or dental documents created and accumulated during the previous operational assignment that are not already part of the EHR will be scanned and uploaded into the appropriate EHR. Once the paper medical and dental documents created during the operational tour or deployment duty are properly scanned and uploaded to the appropriate EHR, an administrative note will be added to the medical and dental EHR indicating the DHR documentation was uploaded to the document center.

- (b) For special circumstances (e.g., decedent affairs, desertion, appellate leave status, or confinement to a correctional facility following court martial trials) refer to enclosure (4) of reference (d).
 - b. Operational Command or Platform Senior Medical Department Representatives:
- (1) Ensure medical and dental paper documentation is received in an accurate and timely manner for continuity of patient care, measurement of individual and command medical readiness, and required Fleet and Fleet Marine Force operational inspections.
- (2) Ensure all paper medical and dental documentation generated during the operational assignment must be placed in the individual's DHR or STR if applicable (e.g. special programs), per reference (c).
- (3) Occasions where Sailors within the command receive orders to a follow-on Type 2 through 4 operational command or platform, the senior medical department representative is responsible to ensure:
- (a) Service member maintains a STR. The command medical department representative (MDR) will hand-carry the STR to the nearest U.S. military medical treatment facility (MTF) for digitization into the medical and dental EHR. The MTF will generate the DHR outlined in subparagraph 5a of this notice.
- (b) Service member maintains a DHR. To the best extent possible, the command MDR will scan the contents of the DHR and attach to a document in Theater Medical Information Program (TMIP) Armed Forces Health Longitudinal Technology Application—Theater (AHLTA-T) for inclusion in the EHR. MDR will hand-carry the DHR to the nearest MTF for updating as necessary. Records removed from the DHR will be digitized into the EHR. A new DHR may be generated at the discretion of the MTF.
- 6. <u>Action</u>. Commander, Naval Medical Forces Atlantic; Commander, Naval Medical Forces Pacific; Commanders or Commanding Officers (CO), Navy Medicine Readiness and Training Commands; COs or Officers in Charge (OIC), Navy Medicine Readiness and Training Units; operational platform COs or OICs; and Senior network managers must ensure compliance with the requirements of this notice.

7. Records Management

a. Records created as a result of this notice, regardless of format or media, must be maintained and dispositioned per the records disposition schedules located on the Department of the Navy Assistant for Administration, Directives and Records Management Division portal page at https://portal.secnav.navy.mil/orgs/DUSNM/DONAA/DRM/Records-and-Information-Management/Approved%20Record%20Schedules/Forms/AllItems.aspx.

- b. For questions concerning the management of records related to this instruction or the records disposition schedules, please contact the local records manager or the OPNAV Records Management Program (DNS-16).
- 8. Forms and Information Management Control
 - a. Forms
- (1) The NAVMED Forms listed in subparagraphs 8a(1)(a) through 8a(1)(j) are available at: https://www.med.navy.mil/Directives/NAVMED-Forms/
 - (a) NAVMED 6470/13 Ionizing Radiation Medical Examination
 - (b) NAVMED 6224/8 Tuberculosis Exposure Risk Assessment
- (c) NAVMED 1300/1 Medical, Dental, and Educational Suitability Screening for Service and Family Members
 - (d) NAVMED 1300/3 Medical Assignment Screening
- (e) NAVMED 1300/4 Expeditionary Medical and Dental Screening for Individual Augmentee (IA) and Support Assignments to Overseas Contingency Operations (OCO)
 - (f) NAVMED 6150/2 Special Duty Medical Abstract
- (g) NAVMED 6420/2 Health and Reproductive Risk Counseling for Female Submariners and Submarine Candidates
 - (h) NAVMED 6470/10 Record of Occupational Exposure to Ionizing Radiation
- (i) NAVMED 6470/11 Record of Occupational Exposure to Ionizing Radiation from Internally Deposited Radionuclides.
 - (j) NAVMED 6224/8 Tuberculosis Exposure Risk Assessment
- (2) NAVMED 6150/10-19 are special order forms which can be ordered through the Patient Administration Department.
- (3) The DD forms listed in subparagraphs 8a(3)(a) through 8a(3)(i) are available at: https://www.esd.whs.mil/directives/forms
 - (a) DD Form 2766 Total Force Health Readiness Flowsheet
 - (b) DD Form 2215 Reference Audiogram

- (c) DD Form 2807-1 Report of Medical History
- (d) DD Form 2808 Report of Medical Examination
- (e) DD Form 3024 Annual Periodic Health Assessment
- (f) DD Form 771 Eyewear Prescription
- (g) DD Form 2216 Hearing Conservation Data
- (h) DD Form 2795 Pre-Deployment Health Assessment
- (i) DD Form 2796 Post Deployment Health Assessment (PDHA)
- (4) Standard Form (SF) 600 Chronological Record of Medical Care is available at: https://www.gsa.gov/reference/forms/chronological-record-of-medical-care
- b. Information Management Control. Reports required of this notice are exempt from reports control per SECNAV Manual 5214.1 of December 2005, part IV, subparagraph 7k.



Releasability and distribution:

This notice is cleared for public release and is available electronically only via the Navy Medicine Web Site, https://www.med.navy.mil/directives/

MANDATORY MEDICAL DOCUMENTS TO BE INCLUDED IN THE DEPLOYMENT HEALTH RECORD

- 1. DD Form 2766 Total Force Health Readiness Flowsheet. The electronically generated version of the DD Form 2766 printed from Medical Readiness Reporting System (MRRS), and Shipboard Automated Medical System (SAMS) printout (2766.TXT) must be included on the left side of the DHR, pages one through four.
- 2. DD Form 2215 Reference Audiogram.
- 3. DD Form 2807-1 Report of Medical History.
- 4. DD Form 2808 Report of Medical Examination.
- 5. DD Form 3024 Annual Periodic Health Assessment.
- 6. SF 600 Chronological Record of Medical Care. <u>Please Note</u>: MHS GENESIS equivalent is acceptable.
- a. Only include provider screened deployment significant medical concerns pertinent to chronic conditions and include documentation from any Medical Evaluation Board.
- b. Per reference (e), SF 600 entries for "declared pregnant females" which have a NAVMED 6470/13 Ionizing Radiation Medical Examination must be maintained indefinitely in the DHR.
- 7. NAVMED 6224/8 Tuberculosis Exposure Risk Assessment.
- 8. Approved medical waivers, deployment waivers, and specialty duty disqualification letters.
- 9. Radiation Effects Advisory Board letters for qualification status of those who are in the Radiation Health Program (i.e., have NAVMED 6470/13 Ionizing Radiation Medical Examination in their records). Include supporting documentation for waivers and Radiation Effects Advisory Boards.

MEDICAL DOCUMENTS TO BE INCLUDED IN THE DEPLOYMENT HEALTH RECORD (AS APPLICABLE)

- 1. DD Form 771 Eyewear Prescription.
- 2. DD Form 2216 Hearing Conservation Data. <u>Please Note</u>: Include all historical DD Form 2216s.
- 3. DD Form 2795 Pre-Deployment Health Assessment.
- 4. DD Form 2796 Post Deployment Health Assessment (PDHA).
- 5. SF 600 overprints for any special duty or job-specific occupational health physical examinations.
- 6. NAVMED 1300/1 Medical, Dental, and Educational Suitability Screening for Service and Family Members.
- 7. NAVMED 1300/3 Medical Assignment Screening.
- 8. NAVMED 1300/4 Expeditionary Medical and Dental Screening for Individual Augmentee (IA) and Support Assignments to Overseas Contingency Operations (OCO).
- 9. NAVMED 6150/2 Special Duty Medical Abstract.
- 10. NAVMED 6420/2 Health and Reproductive Risk Counseling for Female Submariners and Submarine Candidates.
- 11. NAVMED 6470/10 Record of Occupational Exposure to Ionizing Radiation. <u>Please Note</u>: Include all historical NAVMED 6470/10s. Records of Occupational Exposure to Ionizing Radiation are retained in the EHR and must be retained indefinitely in the DHR. Efforts to resolve gaps or missing exposure history must include requests to the Naval Dosimetry Center and applicable local shore-based dosimetry processing facility. Refer to reference (e) for detailed guidance.
- 12. NAVMED 6470/11 Record of Occupational Exposure to Ionizing Radiation from Internally Deposited Radionuclides. Records of Occupational Exposure to Ionizing Radiation are retained in the EHR and must be retained indefinitely in the DHR. Efforts to resolve gaps or missing exposure history must include requests to the Naval Dosimetry Center and applicable local shore-based dosimetry processing facilities. Refer to reference (e) for detailed guidance.
- 13. NAVMED 6470/13 Ionizing Radiation Medical Examination. <u>Please Note</u>: all applicable special studies to support the radiation medical examination (i.e., hematocrit, white blood cell count with differential [if necessary], and urinalysis (e.g., microscopy, cystoscopy, etc.),

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including repeat labs [if needed]) must be retained indefinitely within the DHR. Any referrals for specialty consultation or clinical notes received from outside providers applicable to the individual's medical certification for receiving occupational exposure to ionizing radiation must also be maintained with the NAVMED 6470/13 they are applicable to.

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DENTAL DEPLOYMENT HEALTH RECORD

- 1. Records custodians will follow the guideline in subparagraph 5a(2) within this notice regarding instances where a dental DHR is required. Once the dental DHR is assembled, place it inside the medical DHR jacket for transport to the gaining command.
- 2. Include printouts from the Sailor's or Marine's electronic dental record:
 - a. Current periodic (annual) dental exam.
 - b. Current bitewing and panoramic radiographs.
 - c. Current dental health questionnaire, including problems, allergies, and medications.
 - d. Forensic dental exam along with odontogram printed in color from Dentrix.
 - e. All dental treatment notes.
- 3. All hard copy treatment encounters, notes, radiographs, and records created on paper throughout the operational tour of duty will be recorded in or incorporated into the dental DHR.
- 4. Upon completion of operational assignment and return to shore duty, records custodians will adhere to the guidance in subparagraph 5a(4) of this notice. <u>Please Note</u>: Any new dental radiographs must be added to the dental radiograph database of record.